## NORMALIZATION

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## Schema Refinement:-

Schema Refinement is based on decomposition. The problems that are created by redundant information are listed below. Eventhough the decomposition can eliminate redundancy, it can lead to several problems and should be used carefully.

Problems caused by Redundancy:-

Redundancy is the method of storing the same information redundantly i.e., in more than one place within a database and it can lead to several problems.

\* Redundant Storage: - Some information is stored repeatedly \* Update Anomalies: - If one copy of such repeated data is updated an inconsistency is created unless all copies are similarly updated.

\* Insertion Anomalies: It may not be possible to some certain information unless some other unrelated information is stored as well.

\* Deletion Anomalies: - It may not be possible to delete certain information without losing some other, unrelated information as well.

Eq:- Consider an Hourly-Employee relation.

Hourly Employee (eno, ename, salary, rating, hourly-wages, hours\_ worked).

In the above relation eno is the key attribute.

In addition the hously-wages is determined by rating attribute i.e., For a given rating value there is only one hourly-wages value. It leads to redundancy in the relation.

Eno	Ename	Salary	rating	hourly-wages	hours-worked
(01	А	2000	8	10	40
102	B	5000	8	10	30
103	C	7000	5	7	30
104	D	3500	5	a dan serie ing me	32
105	E	7500	8	10	40

Here redundancy is nothing but for a given rating value there is corresponding hourly wages value. <u>Redundant Storage</u>:- The rating of 8 corresponds to hourly wages 10, and it is repeated three times. <u>Update Anomalies</u>:- The hourly wages in the first tuple could be updated without making a similar change in the second tuple.

Insertion Anomalies: - We cannot insert a tuple for an employee unless we know the hourly wage for the employee's rating value.

Deletion Anomalies: - If we delete all tuples with a given rating value, we lose the association between the rating value and its hourly-wages value.

Decomposition:-

Generally redundancy arises when a relational schema enforces an association between two or more attributes. The problems that are created by redundan are solved by replacing a relation with collection of Smaller relations. Definition:- A decomposition of relation schema R' con sists of replacing the relation schema by two or more relation schemas that each contain a subset of the attributes of R and together include all attributes in f (00)

A relational schema R can be decomposed into a collection of relation schemas  $\{R_1, R_2, \dots, R_m\}$  to eliminate some of anomalies caused by the redundancy in the original relation R such that the relation schemas  $R_1 \subseteq R$  for  $1 \le i \le m$  and  $R_1 \cup R_2 \dots \cup R_m = R$ .

In simple words "The process of breaking larger relations into smaller relations is known as Decompositio Eq: Hourly-Employee (eno, ename, salary, rating, hourly-wages, hours worked) broken into

Hourly-Employeer (eno, ename, salary, rating, hoursworked) and wages (rating, hourly-wages)

As a result, updating any one hourly wages tuples associated with a rating in wages relation involves updating several tuples in hourly Employeer.

Drawbacks or Problems caused by Decomposition:-

A serious drawback of decompositions is that, the original relation may require us to join the decomposed relation. If such queries are many, the performance will be degraded, in this case the decomposition, of relation is strictly not acceptable. In this case we allow some of the problems created by redundancy but not to decompose the relation.

Properties of Decomposition:-

Decomposition is the tool that allows us to eliminate redundancy-so it is very important to check that a decomposition does not introduce new problems. In particular we should check, a decomposition allows us to recover the original relation from the decomposed smaller relation known as "Lossless Join decomposition" property and whethe it allows us to check integrity constraints efficiently known as "dependency preserving decomposition" property.

## Functional Dependancy:-

A functional dependancy (FD) is a constraint between two sets of attributes from the database. For example if X and Y are attributes of a relation  $R' \cdot Y$  is function nally dependent on X (denoted as  $X \rightarrow Y$ ) if the ever two types tuples of relation R agree on their X value, they also agree on their Y value. (Each value of X is associated with exactly one value of Y in R).

 $X \rightarrow Y$  read as x functionally determines Y or Y is functionally dependent on  $X \cdot Here X$  is called determinant and Y is called dependent.

Ex:-	eno	ename	deptro	Salary	(3)
	101	Stee	10	12.000	U
	102	Ram	10	15000	
	103	Stavan	20	10000	
	104	Ajay	20	8000	
	105	Svi	30	7000	

In the above relation ename, deptho, salary are function on ally dependent on eno. Thus eno -> ename, eno -> deptho, eno -> salary.

 $E_{x:-}$  Rollino  $\rightarrow$  Stuname

R(P,Q,S)

 $P \quad Q \quad S$   $P_1 \quad Q_1 \quad S_1$   $P_2 \quad Q_2 \quad S_2$   $P_1 \quad Q_1 \quad S_2$   $P_1 \quad Q_1 \quad S_3$ 

Here  $P \rightarrow \varphi$ 

For each value of Q there is only one value for P.

Eq: Every Vehicle owner possesses a licence and a unique Licence number. Each distinct licence number determines a distinct owner. In otherwords the value of licence number determines the owner entity.

Licence id -> Licence owner

But the converse is not hold true. A person could have a licence for a wheeler and for a 4 wheeler. so Licence owner /> Licence id.

Characteristics of Functional Dependancy:- $X \rightarrow Y$ 

\* X is called determinant of Y.

\* X may or may not be the key attribute in R. \* X and Y may be composite attributes. \* X and Y may be mutually dependent on each other. \* The relationship among attributes in the FDs is most of the time 1:1 and sometimes it may be m:1 101 - Sree 101 - Stee 102 - Ram 102 - Ram 103 - Sree. \* FD must hold for all times i.e., FD is the property of the relational schema and not the property of a particular instance of the schema. A+>b  $A \rightarrow B$ a, b, a, b, a 2 62 a2 b2 az 63 ai ci \* FD's are always non-trivial. Trivial Functional Dependency:-A FD is said to be trivial if right hand side attrib utes are subset of the left hand side attributes. X -> Y if Y c X => Trivial AB->B, BCAB so Trivial ABC -> BC BC CABC SO Trivial AB -> CD Non - Trivial. NOH\_Trivial FD:- If none of the right hand side attributes are available on lefthand side then it is called non trivial.  $A \longrightarrow B$  $AB \rightarrow CD$ ARC -> DFF.

Closure of a set of FD's:-

The set of all FD's implied by a given set of FD's is called the closure of F. By semantics we are able to find out some functional dependencies. Later by applying inference rules we are able to find out some of the addi tional functional dependencies from existing functional dependencies.

- 1. <u>Reflexive</u> Rule: If B is a subset of A, B SA then A->B.
- 2. Augmentation: If A -> B then Ac -> Bc (adding same attribute on both sides of a dependency results in valid dependency).
- 3. Transitivity Rule: If A -> B and B -> c then A -> c.
- From these three rules we can get four more rules. 4. Self determination Rule:- A->A.
- 5. Decomposition Rule: If A > Bc then A > B and A > C A -> BC

BC -> C, BC -> B (Rule 1)

 $A \rightarrow c, A \rightarrow B$  (Rules).

6. Union rule: - If A -> B and A -> c then A -> BC.  $A \rightarrow B, A \rightarrow C$ AA -> AB => A -> AB (adding same attribute)  $AB \rightarrow CB (Rule 2)$ . A -> BC.

7. Composition rule: - If A > B and C > D then AC > BD.  $A \rightarrow B$ BC→BD (Rule2)  $A \subset \rightarrow BC (Rule2)$   $\therefore A \subset \rightarrow RD$ 

Armstrong axiom's are sound and complete. <u>Complete</u>:- Repeated application of these rules will generate all FD's in the closure F<sup>t</sup>. <u>Sound</u>:- Any dependency that is computed using these rules will holds on every relation. Eg-O R(ABC) and FD's are A > B, B > C. By applying Rule(3) we get A -> C.

From augmentation we get.

 $AC \rightarrow BC$ ,  $AB \rightarrow AC$ 

then  $AB \longrightarrow BC$ .

② Suppose we are given a relation R(ABCDEF) and the FD's are  $A \rightarrow BC, B \rightarrow E, CD \rightarrow EF \cdot Now$  check whe ther  $AD \rightarrow F$  holds or not.

 $A \rightarrow BC$  (Given)

 $2 \cdot A \rightarrow C$  (Decomposition Rule)

3. AD -> CD (Augmentation by adding D)

4.  $CD \rightarrow EF$  (given)

5.  $AD \rightarrow EF$  (Transitivity) of 3 and 4)

6.  $AD \rightarrow F$  (Decomposition of 5)

Attribute Closure:-

If we want to check whether a given dependency  $x \rightarrow y$ , is in the closure of a set F of FD's then we have to compute the attribute closure  $X^{\dagger}$  with respect to F, which is the set of attributes A such that  $X \rightarrow A$  can be computed using the Armstrong axioms.

Algorithm for computing attribute closure:- (5)
1. Select attribute or attributes from LHS of FD and
equate it to x. (x is the set of attributes that becc
me a closure).
a Select the FD's one by one If LHS of FD is sub
set of X, if RHS of FD is not in X, then add RHS of
FD to X.
3. Repeat step @ till to cover all FD's. Here the
result is called as closure of attribute. If the clos
ure covers all the attributes of the relation then
it is called as Candidate key.
Eg-1. Consider a relation R(ABCD) with following FD's
$AB \rightarrow C, C \rightarrow D, D \rightarrow A$
AB <sup>+</sup> X=AB AB CAB (Add C to AB)
- ABC (CCABC So add D).
ABCD
- AB = ABCD. So AB is a Candidate key.
$BD^{+} = BD$ $Bc^{+} = Bc$
= BDA = BCD
= BDAC = BCDA
So the candidate keys are AB, BC and BD.
2. Consider a relational schema R(ABCDE) and FD's are
$A \rightarrow BC$ , $CD \rightarrow E$ , $B \rightarrow D$ , $E \rightarrow A$ . Find out $A^{\dagger}$ and $E^{\dagger}$ .
$X = A^+$ $E^+ = EA  (E \to A)$
$= ABC (A \rightarrow BC) = EABC (A \rightarrow BC)$
$= ABCD (B \rightarrow D) = EABCD (B \rightarrow D)$
= ABCDE (CD-)E) Candidate Keys are BE, A, F. CD. RC

3. Consider  $R(ABCDEFGHI) \cdot FD's are ABD \rightarrow E, AB \rightarrow G$  $B \rightarrow F, C \rightarrow J, CJ \rightarrow I, G \rightarrow H \cdot Find out a) AB^{\dagger} b) CJ^{\dagger}$ c)  $ABD^{\dagger} d) ABCD^{\dagger} e) ABCG^{\dagger} f) ABCJ^{\dagger}$ .

b)  $CJ^{\dagger} = CJ \quad (CJ \rightarrow I)$ a)  $AB^+ = ABG (AB \rightarrow G)$  $= ABGH (G \rightarrow H)$ = CJI = ABGHF (B→F)  $C) ABD^{+} = ABD$ d) ABCDT= ABCDE (ABD-)E = ABDE (ABD->E) = ABCDEG (AB->G = ABCDEFG ( B->F, = ABDEG (AB->G) = ABCDEFGH (G->H =  $ABDEFG(B \rightarrow F)$ = ABCDEFGHI(C-): =  $ABDEFGH(G \rightarrow H)$ = A BCDEFGHIJ(CJ-) (d) ABCG<sup>+</sup> = ABCFGHIJ e) ABCJ<sup>+</sup> = ABCFGHIJ . Candidate key is ABCD. 4. Consider a relation R(ABCDEFG) then test the FD ACF > DG can be derived from existing dependencies  $ACF^{\dagger} = ABCF (A \rightarrow B)$  $A \rightarrow B$ 

 $BC \rightarrow DE = ABCDEF (BC \rightarrow DE)$ 

 $AEG \rightarrow G$ 

Since all the attributes (ACFDG) are not in ACF<sup>1</sup> So ACF $\rightarrow$ DG cannot be derived from existing dependencies.

5. Consider R(ABCDEFGH) and FD's are  $A \rightarrow BC, CD \rightarrow E$  $E \rightarrow C, D \rightarrow AEH, ABH \rightarrow BD, DH \rightarrow BC$ . Find out whether  $BCD \rightarrow H$  holds or not. BCD<sup>+</sup> = BCDE (CD->E)

-ABCDEH (D->AEH)

All the attributes (BCDH) are in BCD<sup>+</sup> so it BCD->H hole AB->c holds

Equivalence of FD's:-

Consider two set of FDs A and B. They are said to be equivalent iff  $A^{\dagger} = B^{\dagger} \cdot Equivalent$  means every FD in A can be inferred from B and every FD in B car be inferred from  $A \cdot If A$  is equivalent to B then we can say that A covers B and B covers A. Eq: Consider the following sets of FD's  $F = \{A \rightarrow C, AC \rightarrow D, E \rightarrow AD, E \rightarrow H\}$  $G = \{A \rightarrow CD, E \rightarrow AH\}$ 

First set >- At = A  $Ac^+ = Ac$ E->AD Et = E = ACD = ACD So A-> CD is = EAD A@ > cD is possible Possible = EADC = EADCH E->AH is possible. Second set: - A -> CD Et = EAH

 $A^{+} = A CD$  = EAHCD So  $A \rightarrow C$ ,  $AC \rightarrow D$  is  $E \rightarrow AD$ ,  $E \rightarrow H$  is possible possible.

So F and G are equivalence.  $F = \{A \rightarrow c, Ac \rightarrow D, E \rightarrow AD, E \rightarrow H\}$  $G = \{A \rightarrow cD, E \rightarrow A\}$  are not equivalent. 6

Prime or key attributes: The attributes that are part of keys are called as prime or key attributes. Non-Prime or Non-Key attributes: The attributes that are not part of keys are called as Non-prime or Non-key attributes.

Eq:- R = ABCDEH, FD's are A > BC, CD > E, E > C, AH -> D. Prime attributes : AH

Non prime attributes : BCDE.

Types of Functional Dependencies:-

I. Full Functional Dependency: A FD  $X \rightarrow Y$  is said to be full FD, if removal of any attribute 'A from X means that the dependency does not hold any more i.e., for any attribute  $A \in X$ ,  $(X - \{A\})$  does not functionally determine Y.

2. Partial Functional Dependency:-

A FD  $X \rightarrow Y$  is partial FD, if some attribute AEX, (x-{AZ}) functionally determines Y.

A FD in which one or more non key attributes
are Functionally dependent on part of the primary key.
Eg:- R(ABCD) and FD's are AB→C, B→D.
AB is primary key. Non key attributes are c, D. As
D is depending on Only B (part of the primary key)

then  $B \rightarrow D$  is the partial FD.

3. Transitive dependencies:-

If there is a FD between 2 or more non prime attributes then it is called Transitive functional dependency  $\underline{Fg}$ -R(ABCDE)

 $AB \rightarrow C, B \rightarrow D, C \rightarrow E$ 

AB is the key for the relation.  $AB \rightarrow c - Full F \cdot D$   $B \rightarrow D - Partial F \cdot D$  $C \rightarrow E - Transitive F \cdot D$ .

Lossless Join Decomposition :-

Let R be a relation schema and let F be a set of FD's over  $R \cdot A$  decomposition of R into two schemas with attribute sets X and Y is said to Lossless Join decomposition with respect to F if for every instance of r of R.

 $T_{x}(x) \bowtie T_{y}(x) = x$ . In other words if we recover the original relation from the decomposed relations the it is Lossless Join Decomposition.

Eg- R (ABC)

A

9,

az

az

B 61 62	C1 C2	and	R₂ (BC)	R into	
Ь	C <sub>3</sub>	A		B	
		a ,	bı	61	CI
		a 2	b2	b2	C2
		az	Ь,	Ь,	C_3

R, ⊠R		$a_1$ b $a_2$ b $a_3$ b $a_3$ b $a_3$ b		$C_2$ $C_1$ $C_3$		ourious tuples.
The	above	15 Los	ssy -	Join	Decomp	osition.
2	S# S	tatus	cīt	-y		
	SI	10	Ba	nglose		
	Sy	30	H	<u> </u>		
	Sc	20	Cr	ennai		
	R,				R2	
S#	sta	tus			S#	city
SI	10				SI	Banglore
S4	30				S4	Hyd
SG	20	)			SG	chennail

 $R_1 \bowtie R_2$ 

S, 10 Banglore

S4 30 Hyd

Sr ao chennai

The above is example of Lossless Join decompositic Dependency preserving decomposition:-

Consider a relation R with attributes s' and Set of FD's F. R is decomposed into releations R,  $R_2, \dots, R_n$  with the FD's  $F_1, F_2, \dots, F_n$ . This decom position of R is dependency preserving if  $(F_1 \cup F_2 \cup \dots \cup F_n)^{\dagger} = F^{\dagger}$ . The dependencies in the original relation can be § implied by decomposition relation dependencies. Eq: OR(ABCD) with FD's  $F = \{A \rightarrow B, A \rightarrow C, C \rightarrow D\}$ , R, (ABI with FD's  $F_1 = \{A \rightarrow B, A \rightarrow C\}$ ,  $R_2(CD)$  with FD's  $F_2 = \{C \rightarrow D\}$ 

In the above decomposition all the original FD's car. be logically derived from  $F_1$  and  $F_2$ . Hence the decomposition is dependency preserving.

O R, (ABCD), F=  $\{A \rightarrow B, A \rightarrow C, A \rightarrow D\}$ 

 $R_1(ABD)$ ,  $F_1 = \{A \rightarrow B, A \rightarrow D\}$ ,  $R_2(BC)$  with no FD's. The above decomposition is not dependency preserving Normal Forms:-

Normal forms are rules for structuring relations to eliminate anomalies like modification (update), deletion, addition (insert).

Normalization:-

The process of deciding which attributes should be grouped together in decomposing a given relation into smaller relations. This normalization is based on the primary keys and FD's

Normalization is a tool to validate and improve the logical design of the database.

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The process of reducing redundancy is called Norma lization.

Advantages:-

\* It eliminates insertion, deletion and update anomalies.

\* Obtains database consistency

\* reduces duplication hence disk size.

Disadvantages:-

Retrieving of information from the normalized table is quite complex and consumes lot of time or slow dow the process why because we need to join multiple tables

There are different types of normal forms and the below figure shows the relationship among them.



The above diagram indicates if a relation is in 2NF means then it is already in INF. If it is in 3NF, it is already in INF, 2NF and so on.

First Normal Form (INF):-

A relation schema is said to be in INF if the values in the domain of each attribute of the relation are atomic. In other words only one value is associated with each attribute and the value is not a set of values or list of values.

To transform the unnormalised table into INF we have to identify and remove the repeating group Repeating group:-

A repeating group is an attribute or group of attributes with in a table that occurs with multiple values for single occurrence.

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Eg=-	Fno	Fname	Phone
9	101	Sree	12481
			12642
			12662
	102	Ram	12661
			12741
			12721
-			

The above relation is not in INF.

Fno Fname Ph	
101 Stee 1a	481
101 Stee 12	1642
101 Stee 18	2662
102 Ram 18	2661
102 Ram 15	2741
102 Ram 18	2721

A relation that satisfies the INF must meet the foll. owing requirements.

\* The cells of the table must have a single value.

\* Neither repeating groups nor arrays are allowed as values.

\* All entries in any column must be of the same kind.

\* No two rows in a table may be identical.

Drawdack: - Redundancy of data.

Second Normal Form (2NF):-

A relation is said to be in 2NF, if it is in INF

and every non key attribute is fully functionally dependant on the primary key i.e., no attribute is dependent on only a part of the key. 2NF deals with partial dependencies (It eliminates partial dependencies).

A relation is said to be in QNF, if any one of the condition is satisfied.

\* Primary key consists only one attribute.

\* If all attributes in a relation are in the primary or non key attributes exists in the table.

\* Every nonkey attribute is fully functionally dependant on primary key.

\* If the relation consists only two attributes.



FD's are <u>enoprno</u> -> hours

 $eno \rightarrow ename$ 

prno -> prname prloc

The above relation is in INF, but not in aNF. The key attribute for the above relation is enopror. But ename is depending on part of the primary key (i.e., eno -> ename) In the same way prname prloc is also dependent on part of the primary key (prno). The above relation can be decomposed into smaller relations.

eno prno hours.

eno ename

pono poname poloc

Eq: OConsider a relation R(ABCDEFGHIJ) and FD's are (10)  $AB \rightarrow C, A \rightarrow DE, B \rightarrow F, F \rightarrow GH, D \rightarrow IJ \cdot What is the key$ attribute of R and decompose R into aNF.

AB is the key. A->DE is partial FD  $B \rightarrow F$  is partial FD  $A^{\dagger} = A D E I J$ ,  $B^{\dagger} = B F G H$ .  $R_1 = A^+$  $R_{2} = B^{\dagger}$  $R_3 = ABC$ 

O Consider R(ABCDEFGHIJ) and FD's are AB $\rightarrow$ c, BD $\rightarrow$ EF AD->GH, A->I, H->J. Find out primary key and normalize upto aNF.

ABD is the primary key.

 $R_{I} < ABC R_{I}'$ AI  $R_{I}''$  $AB^{+} = ABCI R_{1}$  $BD^{\dagger} = BDEF R_2$ R2 BDEF ADT = ADGHJI R3

 $A^{\dagger} = AI$ 

 $R_3 < ADGHJ R_3'$ AI  $R_3''$ 

The decomposed relations are ABC, AI, BDEF, ADGHJ ABD.

Third Normal Form (3NF):-

A relation is said to be in SNF if it is in 2NF and no transitive dependency exists in the table.

A transitive dependency is the FD between the two non-key attributes.



Boyce-codd Normal Form (BCNF):-

Database relations are designed so that they have neither partial nor transitive dependencies, because the result in modification anomalies. To avoid these anomalies we normalize table to aNF to eliminate PDs and to 3NF to eliminate TD's. But we cannot take into account other candidate keys of a relation. Hence even after applicatio of aNF & 3NF there is a possibility for additional redun dancy caused by dependency that Violates all candidate keys. so inorder to take care of this weakness in 3NF we are using BCNF and it is based on FD's that take into account all candidate keys-BCNF is stronger than 3NF Every relation in BCNF is also in 3NF. But a relation in 3NF may not necessarily in BCNF.

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3NF is not dealing with the case of a relation with following properties.

\* having 2 or more candidate keys.

\* Candidate keys overlap i.e., have atleast one attribute in common.

If the above conditions are there in a relation then the relation violates BCNF and causes some redundancy. Definition:-

A relation is said to be in BCNF iff every (nontrivial irreducible FD has a candidate key as its determinant) determinant is a Candidate key.

Eq: - Consider student (Rollno, name, phno, Branch) where Rollno

is unique student identification no and where name, phno assumed to be unique. Then the FD's are.

Rollno -> Branch phno -> Branch name -> Branch Rollno -> name phno -> name name -> Rollno Rollno -> phno phno -> Rollno name -> phno

The relation student is in BCNF. Since each FD involves a candidate key as its determinant. (2) R(ABCD), FD's are  $A \rightarrow B$ ,  $BC \rightarrow D$ ,  $A \rightarrow C$ .

Primary key is A. The relation is in RNF. but not in  $3NF(BC \rightarrow D - T \cdot D)$ 

 $R_1 = ABC$ ,  $R_2 = BCD$ 

Now the relation is in 3NF. Since all determinants are candidate keys it is in BCNF.

If a table contains only one candidate key or only non composite keys then 3NF&BCNF are equivalent. Multivalued dependency:-

Represents a dependency between attributes (say A,B,c) in a relation such that for each value of A the are set of values for B and a set of values for C. How ever the set of values for B and C are independent of each other.

The multivalued dependency may be trivial or nontrivial Trivial MVD:- A->> B in a relation R is defined as trivia a) B is subset of A. If neither (a) nor (b) is satisfied then it is called

Fousth Normal Form (4NF) :-

A relation is said to be in 4NF iff it is in BONF and has no multivalued dependency.

The normalization of BCNF relations to 4NF invo. Lves the removal of mvD from the relation by placing the attributes in a new relation along with the copy of its determinants.

Eq: Assume an employee works on more than one project and having more than one dependent.

Ename	Pname	dependent
Stee	x	Ram
	Ч	Sam
Ename	Phame	dependent
Sree	X	Ram
Sree	X	Sam
Sree	Ч	Ram
Sree	y	Ram

Ename ->> pname

Ename ->> dependent.

The emp relation is not in 4NF and therefore we decompose emp into

Emp-project (Ename, Pname)

Emp-dependent (Ename, Dname)

	Sree	X	Sree	Ram
	Sree	y	Stee	Sam
• •				

 $\mathbf{H}_{i} = \mathcal{M}_{i}^{m}$ 

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